

UFT Submission System - State Participation														
As of 05.27.2025														
<u>Jurisdiction</u>	<u>State Participation</u>	<u>Registrations by Coordination</u>	<u>Registrations by Qualifications</u>	<u>Reg A Tier 1</u>	<u>Reg A Tier 2 Notice Filings</u>	<u>State Crowdfunding Offerings</u>	<u>Federal Crowdfunding Notice Filings</u>	<u>Reg D - Rule 504</u>	<u>Mutual Funds</u>	<u>Business Opportunities</u>	<u>Agent of Issuer</u>	<u>Other Filing Types</u>	<u>Other Filings Detail</u>	<u>Hard Copy Submission Required</u>
Alabama	Available	X	X	X	X	X	X		X					
Alaska	Available	X	X	X		X	X	X						
Arizona	Not on the system at this time													
Arkansas	Not on the system at this time													
California	Not on the system at this time													
Colorado	Available	X	X	X	X	X	X	X						
Connecticut	Not on the system at this time													
Delaware	Available	X	X	X	X	X	X	X	X			X	LOE, Model Accredited Investor Notice Filing Exemption Form, Face Amount Certificate Company, Amended Form D Filings	
DC	Available	X	X	X	X	X		X				X	Notice filing for claiming certain exemptions under DC Securities Act 2000, as amended. See sections 26-B243 and 26-B249 of DC Municipal Regulations.	
Florida	Available		X	X				X						
Georgia	Available							X	X					
Hawaii	Not on the system at this time													
Idaho	Available	X	X	X	X	X			X			X		
Illinois	Available	X	X	X	X	X	X		X			X	4G Report of Sale: "IL Securities Law Section 4.G offering exemption"	
Indiana	Available							X	X					
Iowa	Available	X	X	X	X	X	X					X		
Kansas	Available	X	X	X				X				X	Kansas Accredited Investor Exemption	
Kentucky	Available	X	X	X	X	X		X				X	Claims of Exemption pursuant to KRS 292.400	
Louisiana	Not on the system at this time													
Maine	Not on the system at this time													
Maryland	Available	X	X	X	X	X	X	X				X	Issuer agents, securities exemption filings	

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Massachusetts	Not on the system at this time													
Michigan	Available	X	X	X	X	X	X		X			X	Offerings of Not-for-profit securities filed under MCL451.2201(g) and R.451.2.1	
Minnesota	Available				X									
Mississippi	Available	X	X	X	X		X							
Missouri	Available	X	X	X	X				X			X	Not-for-profit church extension funds, church bond filings	
Montana	Available	X	X	X	X	X	X					X		
Nebraska	Available	X	X	X	X					X				
Nevada	Available	X	X	X	X	X	X	X			X	X	Nevada Certified Investor Filing	
New Hampshire	Available	X	X	X	X		X					X	Non-profit filings	Yes - Form U2 only
New Jersey	Available	X	X	X		X		X				X	Section 4(2) Exemptions, Model Accredited Investor Exemption	Yes
New Mexico	Available	X	X	X	X			X				X	Exemption filings accepted, but would prefer these documents via paper	
New York	Available											X	IFE Exemption	
North Carolina	Not on the system at this time													
North Dakota	Available	X	X	X	X		X					X	Accredited Investor, Limited Offeree, Limited Offering, Non-Profit	
Ohio	Available	X	X		X		X					X	Registration by Description	
Oklahoma	Available	X	X	X	X			X		X		X	Exemption Notices, Coordination/Qualification filings, Issuer Agent registration, Requests for no action/discretionary exemptions	
Oregon	Available		X	X	X		X	X	X			X	U4 – Salesperson filings. Form 701 Compensatory Benefit Plan.	
Pennsylvania	Available	X	X	X	X		X	X	X			X	Limited Offering Exemption	
Puerto Rico	Available	X	X	X	X		X	X						
Rhode Island	Available	X	X	X	X	X	X	X				X	All Others if they initiate original filing in EFD	
South Carolina	Available				X								Per note, would consider accepting Registrations by Coordination and Tier 1 filing through EFD temporarily in certain situations	
South Dakota	Available	X	X	X	X	X	X	X		X		X	Church Extension, Model Accreditation.	
Tennessee	Not on the system at this time													
Texas	Available	X	X	X	X	X						X	Over Sales; Secondary Trading Exemption; TX Specific: Non-issuer Sales, Sales to individual Accredited Investors, Accredited Investor exemption, Crowdfunding Exemption, Intrastate limited offering exemption	
Utah	Not on the system at this time													
Vermont	Available	X	X	X	X	X	X	X				X	Church bond & Church extension funds	

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Virginia	Available	X	X	X	X	X	X					X	Model Accredited Investor Form	
US Virgin Islands	Available	X	X	X	X			X						
Washington	Available	X	X	X	X	X	X	X				X	State Exemption Notices	
West Virginia	Available	X	X	X	X	X	X		X			X	Employee Exemption, Non-profit Exemption, Broker/Dealer Address/Name Changes, Investment Adviser Address/Name Changes, Timeshare Filings, Interpretive Opinion Requests.	
Wisconsin	Available	X	X	X	X							X	State Exemptions.	
Wyoming	Available	X	X	X	X				X					